



CP01-1

U.S. CONSUMER PRODUCT SAFETY COMMISSION WASHINGTON, DC 20207

OFFICE OF THE GENERAL COUNSEL



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July 10, 2001

Mary Ellen Fise General Counsel Consumer Federation of America 1424 16th Street, N.W. Washington, D.C. 20036

Dear Ms. Fise:

Your submission dated June 21, 2001 requesting that the Commission issue three rules concerning the recall of children's products has been forwarded to the Office of General Counsel for a determination of whether your requests should be docketed as petitions for rulemaking. You request that the Commission issue rules under section 10 of the Federal Hazardous Substances Act ("FHSA") that would require: (1) a product registration card be provided with every product intended for children; (2) the remedy for recalls of products intended for children remain in effect indefinitely or as long as the affected company is in business; and (3) identification information be permanently provided on every product intended for children.

We are docketing your request for a rule requiring product registration cards, as it meets the Commission's requirements for petitions as set forth in 16 C.F.R. Part 1051. However, we believe that the Consumer Product Safety Act ("CPSA") is the appropriate statute for such a rulemaking, and are docketing your petition under that statute. As you know, shortly before we received your petition, the staff forwarded to the Commission a briefing package recommending that the Commission issue an advance notice of proposed rulemaking ("ANPR") to begin a rulemaking addressing a product registration card system. The issue is to be considered by the Commission.

At this time, we are not docketing your requests for rules requiring indefinite recall remedies and identification information on all children's products. As discussed below, because we have serious questions about whether the Commission has the authority to issue such rules under section 10, we do not find that your requests, as currently presented, meet the Commission's petition requirements (a copy of these regulations is enclosed). You are welcome to provide further support for the argument that the Commission has such authority under section 10 or any other provision.

Product Registration Card Request. You ask for the Commission to issue a rule under section 10 of the FHSA that would require manufacturers (or distributors, retailers or importers) of products intended for children to provide a product registration card along with every product. We are docketing this request as a petition. However, we believe that the appropriate authority for such a rule is section 16(b) of the CPSA, 15 U.S.C. § 2065(b), which authorizes the Commission to require manufacturers, private labelers and distributors of consumer products to "establish and maintain such records, make such reports, and provide such information as the Commission may, by rule, reasonably require for the purposes of implementing this Act." As discussed below, the FHSA does not make violation of a section 10 rule a prohibited act. Thus, the Commission would have serious difficulties enforcing a registration card rule issued under section 10 of the FHSA. Section 16(b) of the CPSA authorizes the Commission to issue rules pertaining to record-keeping. We believe this is the appropriate authority for the type of rule you request.

Indefinite Recall Remedy Request. The Commission may only docket as petitions requests for action it is authorized to take through the issuance, amendment, or revocation of rules. 16 C.F.R. § 1051.2(a). It is not clear that the Commission has the authority to issue a rule requiring that remedies for corrective actions under section 15 remain in effect indefinitely. Section 10 of the FHSA provides general authority to issue "regulations for the efficient enforcement" of the FHSA. However, we have not located any authority for the proposition that the Commission may issue a rule imposing a specific condition on all corrective actions negotiated under section 15. The length of time a section 15 remedy should remain in effect can certainly be negotiated as part of the corrective action or imposed by an administrative law judge. However, as you know, corrective actions are not conducted through rulemaking. Nevertheless, we think the issue you raise can be addressed by other means. In response to your petition, Chairman Ann Brown stated that, "We are taking steps today to advise recalling companies to maintain a contact number for recalls indefinitely."

Request for Identification Information on Children's Products. You ask for a regulation requiring that the name, address and telephone number of the manufacturer, distributor, retailer, or importer, or the company's name, telephone number, and web address, be permanently placed on every children's product or product part. You cite section 10 of the FHSA as the authority for such a rule. We question whether section 10, which authorizes rules for the efficient enforcement of the FHSA, gives the Commission authority to issue such a rule. It is clear that section 10 may be used to interpret or help enforce Commission authority derived elsewhere in the FHSA, but we have not located any authority permitting the Commission to impose obligations unconnected to any other FHSA provision. A rule under section 10 that is not connected to a different, enforceable provision of the FHSA does not appear to be enforceable itself under the FHSA. Section 4 describes acts that are prohibited by the FHSA and does not provide that violation of section 10 is a prohibited act. 15 U.S.C. § 1263. A prohibited act under the FHSA must involve a misbranded or banned hazardous substance, as those terms are defined by the statute. Thus, if the Commission were to issue a rule requiring identifying information on certain products, how would it enforce the rule when products lacking that information are not banned or misbranded hazardous substances?

Page 3

As you may know, the Commission published a notice of inquiry on November 7, 1994 concerning a possible rule similar to what you request -- requiring children's products and fireworks be permanently marked with identifying information. 59 Fed. Reg. 55448 (1994). On February 22, 1995, the Commission decided to terminate the inquiry. 60 Fed. Reg. 24263 (1995). Although the Commission issued this notice of inquiry and opened up discussion about requiring that certain products be marked with identifying information, the Commission never addressed whether it had legal authority to issue such a rule. It terminated the inquiry before actually beginning a rulemaking and reaching this question. Your request raises this issue.

Again, we would be interested in any support you have for your theory that section 10 authorizes rules requiring that products be marked with identifying information and requiring indefinite recall remedies. We appreciate your sharing your concerns with the Commission.

Sincerely.

Stephen Lemberg

Assistant General Counsel

Enclosure



Consumer Federation of America

PETITION

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Firms Notified,
Comments Processed.

June 21, 2001

Office of the Secretary U.S. Consumer Product Safety Commission 4330 East West Highway Suite 502 Bethesda, MD 20814

Enclosed for filing please find a petition being submitted by Consumer Federation of America concerning Recalls of Products Intended for Children.

Thank you for your attention to this document.

Sincerely,

Mary Ellen R. Fise General Counsel In the United States of America Before the Consumer Product Safety Commission

No Mfrs/PrvtLblrs or Products Identified

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Firms Notified,
Comments Processed.

In the Matter of the Petition of Consumer Federation of America To Establish Regulations Governing Recalls and Information For Consumers Concerning Children's Products

No.		
140.	 	

Pursuant to the Administrative Procedures Act, 5 U.S.C. section 553 (e) and regulations of the Consumer Product Safety Commission (CPSC), 16 C.F.R. sections 1051 and 1500.201, Consumer Federation of America hereby petitions the CPSC to establish regulations under section 10 of the Federal Hazardous Substances Act, 15 U.S.C. section 1269, governing the recall of products intended for children. Such regulations would help provide for the efficient enforcement of the FHSA and specifically sections 15 (a) (b) and (c), 15 U.S.C. section 1274.

I.

Interest of Petitioner

This petition is brought Consumer Federation of America (CFA). CFA is the nation's largest consumer advocacy organization representing over 280 state, local, and national consumer organizations and over 50 million consumers. CFA has been a strong advocate of protections for children, including CPSC standards, bans, and recalls affecting products intended for children. For the past 20 months, CFA's sister organization Consumer Federation of America Foundation has been in the process of developing a comprehensive Internet website focusing on children's safety and health. As part of that web development, CFAF reviewed all CPSC recalls involving products intended for children.

11.

Need for Regulations

It is clear that additional measures are needed to improve recall effectiveness. In Fiscal Year (FY) 1996, CPSC recalls experienced an 18% return rate. In FY 1997, the return rate fell slightly to 16%.¹ This petition seeks three regulations to assist the agency in the effective

These are the most recent data we have on recall effectiveness. While the Fast Track recall program has led to an increase in return rates to 60% in FY 1996 and 53% in FY97, it primarily takes care of product retrieval in the early parts of the distribution channel and not when the product is in the hands of consumers. The overall return percents (16% in 1997 and 18% in

enforcement of the Federal Hazardous Substances Act provisions affecting recalls of children's products. See sections 10 and 15(a) (b) and (c) of the FHSA. The need for each of these regulations is discussed below.

A) Indefinite Availability of Recall Remedy

A recent study by CFA of recall contact numbers provided by companies subject to CPSC corrective action plans found a disturbing number of companies that fail to honor their recalls.

On June 21, 2001, Consumer Federation of America Foundation, is launching a new comprehensive child safety and health website, www.SafeChild.net, intended for parents, professionals who work with children, and advocates who work to protect children. This website includes all recalls of products intended for children from 1990 to present. In the cases of products that have a long useful life (and typically a higher price tag), we recognized that consumers may have products that were recalled before 1990 in their homes and therefore have also included older recall information on those products. These include: cribs, bunk beds, playground equipment, and all terrain vehicles. The website also contains some limited recall information on some products that, while not intended for children, promote safe environments for children (such as smoke alarms/detectors).

In preparation for listing all of these recalls on our website, we contacted (and are still contacting)² the manufacturers, importers, distributors or retailers designated in the CPSC press release by calling the phone number listed in the release. These are the numbers that CPSC and the recalling company advise consumers to call to get more information about the recall remedy. We wanted to make certain that the information we intended to include for visitors to our website was accurate. Thus, we did not set out in this endeavor to prepare a report on recall contact information. However, a few days into calling we realized a disturbing pattern – that not all the contact information contained in the CPSC Press Releases was accurate and not all companies were continuing to honor recalls. When we first recognized a pattern, we began to keep notes on all contacts that were in error or where we talked to a company representative who indicated that they were no longer honoring the recall.

As a result of our recall checks, we have identified 108 companies, listed in CPSC Press Releases and contained on the CPSC website, that fail to honor their recalls. These failures fall into six categories:

Wrong Number: (62 cases) Typically this was a recording such as "your call cannot be completed as dialed" or "the number is invalid" or "this number is no longer in service." This category also includes calls answered by a person (including personal residences) or company that was clearly not the company listed in the recall.

Fax Machine or Pager: (7 cases) Here the telephone number called resulted in a fax machine beeping noise or pager beep.

Disconnected: (13 cases) These numbers resulted in a recording saying that the number was disconnected or no longer in service.

¹⁹⁹⁶⁾ are more appropriate to examine when discussing getting hazardous products out of consumers' homes.

As of June 21, 2001 we are still contacting the companies involved in toy recalls. If we learn of additional cases where companies fail to honor a recall we will forward that information to CPSC.

Always Busy: (2 cases) In these cases the phone number was always busy (during multiple attempts).

No Parts Available: (6 cases) In this category, the call was answered but in requesting information about the recall, we were told that the company was no longer honoring the recall because parts were not available. In one case the company said that they didn't make the product any longer and therefore were not honoring the recall.

Unsure of Continued Participation: (18 cases) For these calls, the company representative either did not know of the recall, claimed not to be part of any recall, indicated that the recall would only be honored for six years, or would be honored for six years if parts were still available. In one case the company told us that they had sent a letter to CPSC requesting that the case be closed because they had received very few returns. In many of the cases in this category we talked to several different people in the company.

See Appendix A for a complete list of the companies, recalls and responses to our CPSC product recall information checks.³

To determine whether there was some undisclosed time limit on recalls that CPSC was negotiating with companies subject to recalls, we twice asked senior CPSC Compliance staff if there was any limit on how long a CPSC recall is in effect. Both times we were told unequivocally that recalls should last "indefinitely." ⁴ While we appreciate the CPSC staff's determination to provide recall remedies indefinitely, we believe that a regulation codifying this position is needed.

We also ask CPSC Compliance Staff to contact the companies identified in this report and insist that they honor the recall. If CPSC learns that the company is not in business, we also request that the agency alert consumers, in addition to our doing so, of the dangers associated with these products and of the need to throw them away.

B) Manufacturer Identity and Contact Information

The petition seeks a regulation requiring that the name, address and telephone number of the manufacturer, distributor, retailer, or importer <u>or</u> the name, telephone number and web address of the manufacturer, distributor, retailer, or importer (provided certain conditions are met) be permanently on the product or product part.

Currently only children's products that the agency has declared to be hazardous substances (generally those that have an identified electrical, mechanical, or thermal hazard), are required to have the "name and place of business of the manufacturer, packer, distributor or

³ In addition to the results listed in the chart in Appendix A, it should be noted that we often had difficulty getting through to companies not listed in this report. We had to phone these companies many times on several different days until the phone was answered. While not deemed a failure in this study, it most likely would have been had a consumer been contacting the company. After two or three tries, we suspect that most people would have given up.

⁴ M. Schoem, public comments at the International Consumer Product Health and Safety Organization Conference, February, 2001; and telephone conversation with A. Schoem.

seller" only on the label of the product.⁵ If such products do not have this information they are "misbranded hazardous substances" by definition. See Section 2(p)(1) of the FHSA, 15 U.S.C. 1261. Introduction into commerce of a misbranded hazardous substance is prohibited by the FHSA (Section 4(a), 15 U.S.C. section 1263).

CFA seeks this regulation to improve and enhance:

Consumers' ability to determine if they have the product that is subject to the recall

Consumers need to know whether the recall being announced involves a product they may own. If the consumer has forgotten the name of the manufacture (or never learned of it in the first place), which is entirely possible and likely as many consumer products have long use periods, the success of the recall will hinge on whether the consumer can simply examine the product to determine if the product is subject to the recall.

2. Consumers' ability to participate in the recall

With the manufacturer, distributor, retailer or importer's contact information on the product---including name, address and telephone number, or name, telephone number and web address—consumers will be in a better position to participate in the recall. Consumers who hear about recalls from friends or acquaintances (who may not have the contact information) or who may hear about the recall from a radio or TV report, at a time when they are unable to take down the contact information, will still be able to contact the manufacturer to learn how to receive the recall remedy. If all children's products contain this information, parents and others will feel empowered every time a recall is announced by CPSC because they will know that all they have to do is look at the product and call the telephone number or use the mail or web address to find out what action they should take.

3. CPSC's ability to investigate and recall unsafe products

CPSC needs to be able to identify the manufacturer (distributor, retailer, or importer) in order to begin an effective investigation and possible recall action, CPSC staff have indicated that there have been cases where a product was not recalled, despite a hazard determination by staff, because they could not identify the manufacturer.

There have been at least two cases where a child has died on a product with a hazardous design and the manufacturer's name was not on the product. It is our understanding that CPSC has not recalled these two products because they are unable to identify the manufacturer. Coincidentally, both products are baby changing tables. From the investigation

⁵ "Label" is defined by the statute as writing on the immediate container or if the article is unpackaged or is not packaged in an immediate container, then the information must be on the article or a tag affixed to the product. FHSA, section 2(n), 15 U.S.C. section 1261.

In the late 1980s CFA learned of a defectively designed play yard that had caused the death of a child and asked CPSC why the product had not been recalled. CPSC Compliance staff told us it was because CPSC was unable to identify the manufacturer because there were no markings on the product. From our vantage point it is very difficult to determine how many other cases there might be of hazardous products never recalled because of lack of identifying information on the product.

In the first case, Logan Vash, a ten-month old boy was fatally injured on June 8, 1999 when his neck became trapped between the frame of a changing table and a light weight shelf. The shelf was resting on clip supports on the frame. Logan crawled on to the first shelf and then stood up. His head lifted the second shelf off of the supports, and he put his head through the opening. But when his head went over the frame member, his neck came down on the ¾ inch frame edge. The shelf, which acted like a hinged cover, came down on the back of his head and neck and he died after approximately two minutes in this position. The shelf is the shelf of the sh

In the second case, a 16 month old boy died on March 5, 1989 of asphyxiation by hanging when he became entrapped in an opening in the back of his changing table. The boy and his twin brother were active toddlers and had previously climbed the open shelves of the changing table. The changing table had been turned around to prevent them from climbing the table. The backside of the table, facing outward into the room had two six inch high gaps in the woodwork/railing. When the victim was found he was almost in an upright position with his feet just off the ground. His head was wedged with the chin over the railing that was 21 inches off the floor. He was looking directly back towards the wall behind the furniture. When first found, the father stated that he thought the subject was just standing there. The investigation reports states: "There was no brand name or serial number on the furniture."

To determine the ease of purchasing products without a manufacturer's (or distributor, retailer or importer) name on the product, CFA staff visited four stores between June 14-19, 2001 and was able to purchase, in all four stores, either new or second hand products for children that have no manufacturer or other name on the product. These include: three dolls, one battery-operated toy car, pinwheels, a bag of rattles and toys trucks, and one used changing table. We are not alleging safety problems per se with these products. However, should a safety hazard be identified ever with these products, it would be impossible to determine who made them and who should be responsible for carrying out a recall.

The incidents cited, as well as the ready availability of unmarked products, strongly supports a change in existing regulations. Congress could not have intended to give CPSC recall authority and then expect that manufacturers would be allowed to thwart or circumvent implementation of that authority by failing to place the company's name on the product.

This description is taken from the CPSC Epidemiologic Report, number 990914CAA3479 (see Appendix B).

⁸ Logan Vash's mother has requested that the ASTM voluntary standard for changing tables (currently being drafted) address movement of shelves in changing tables to prevent such entrapment incidents.

⁹ This description is taken from Multnomah County Medical Examiner 's Office Report Form, Circumstances description, p.4 (see Appendix C).

¹⁰ The draft ATSM voluntary standard on changing table contains a test that would not allow such entrapment spaces in changing tables.

We also found a battery-operated toy guitar that had one very small paper label (about to come off the product) with the words "Toy Wonders" stuck in the inside bottom of the battery compartment. There were no other marking anywhere on the product. There was also no manufacturer's name on the box so it was difficult to determine whether Toy Wonders is the manufacturer.

C) Direct-to-Consumer Notification

Another means of increasing the effectiveness of consumer product recalls is direct-to-consumer notification of the recall. By identifying consumers who purchased the product, manufacturers are able to contact them directly to communicate about a safety recall.¹² This currently occurs on a very limited basis and mechanisms to obtain consumer information that would facilitate such notification are inadequate and inappropriate.¹³

To better assess the adequacy of consumer warranty or registration cards included with new consumer products, in 1999, CFA collected a sample of 17 cards that were included with new products. From this sample, we learned the following about product registration cards:

- Manufacturers do not disclose that these cards might (or could be) be used to give consumers notice of recalls. Of the 17 cards we reviewed, only one manufacturer suggested that this might be a use of the registration card.¹⁴ There is no effort made to inform consumers that the return of the card could enable them to receive important safety recall information about their product (should that need arise).¹⁵
- □ Cards used appear primarily to be marketing and consumer data collection tools. Every registration card collected by CFA asked numerous questions of the consumer, over and above name, address and model number (or the "basics" needed for safety recall or warranty reasons). It was not uncommon for companies to ask 20 or more questions of

¹² The suggestion of requirements for direct-to-consumer notification of product recalls is not new. See, <u>Recall Procedures for Unsafe Products Sold to the Public</u>, Report by the Committee on Consumer Policy, Organisation for Economic Cooperation and Development ["(d)irect notification of consumers is preferable, although this does not necessarily preclude the use of media, at 15]; and "Recall Effectiveness and the Communications Clutter, Harland W. Warner, Public Relations Quarterly, Fall 1980, 21 ["The government might consider developing a uniform recall communications program to be part of all warranty information." at 23]

¹³ In fact, the failure of manufacturers to learn who bought their products, and hence their inability to contact buyers other than through commercial advertising, has been listed as a reason for CPSC's low recall return rate. See "Recalls and the Remediation of Hazardous or Defective Consumer Products: The Experience of the Consumer Product Safety Commission and the National Highway Traffic Safety Administration," Richard J. Tobin, The Journal of Consumer Affairs, Winter, 1982, volume 16, no.2, 278, at 295.

¹⁴ The Sharp Electronics Corporation card stated: "We will keep your model number, serial number and date of purchase on file, and help you access that information in case of loss, damage or theft. This will also enable us to contact you in the unlikely event that any adjustments or modifications are ever required for your oven."

¹⁵ The drawbacks of using the traditional warranty or registration card have been described as follows: "Sophisticated consumers are increasingly aware that a warranty applies to a purchased product whether or not they fill out and return the manufacturer's warranty card....Another reason suggested for the frequent discarding of warranty cards is that their design often makes it patently obvious to knowing buyers that the cards are intended more to provide manufacturer with market research data than to assure owner registration." (Editor note) "Identification of Products for Potential Recall," Gordon H. Robertson, in Managing Product Recalls, edited by E. Patrick McGuire, A Conference Report from The Conference Board, 1974.

- consumers. 16 It is clear from the type and number of questions being asked, that these cards are intended for marketing research purposes.
- Postage is not prepaid on most registration cards.
 In our sample, 76% of the cards required that the consumer affix proper postage.
- Only two companies' cards that we examined stated that they would not release the consumer's information to anyone. On ten cards (representing nine companies), the consumer was required to affirmatively opt out of having their name disclosed. Five companies made no statement at all regarding privacy or the use of the information, meaning that the company was free to sell or otherwise use that personal information. Protection of consumer privacy is a major concern to consumers and failure to protect personally identifiable information is an incentive for consumers not to participate in any registration program.

It is clear that the current warranty/marketing consumer registration cards are not appropriate for the Direct-to-Consumer notification regulation sought by this petition. Providing Consumer Safety Registration Cards that are postage-paid and pre-labeled with product name and model number and that clearly convey use only in the event of a safety recall or hazard and include privacy protections would significantly enhance consumer participation. Being directly and personally notified and /or reminded of a hazard that could hurt, or even kill, their baby will, we contend, be very effective in enhancing recall participation by parents and others.

III.

Action Requested

For the reasons enumerated above, the Petitioner requests that the Consumer Product Safety Commission issue regulations, under the authority granted it in section 10 (a) of the Federal Hazardous Substances Act, 15 U.S.C. 1269, to specify that recalls last indefinitely, to require manufacturer's name and contact information on children's products, and to provide Consumer Safety Registration Cards to purchasers of children's products.

Specifically, the Petitioner requests that CPSC issue regulations, applicable to all manufacturers, distributor, retailer, or importer of products intended for children, that:

A) specify that the remedy provided by the manufacturer, distributor, importer or retailer, (to repair, replace or refund) as part of the recalls carried out under Section 15 of the FHSA and section 15 of the Consumer Product Safety Act (CPSA)¹⁷ with respect to products intended

¹⁶ 65% of our sample asked 20 or more questions, including two companies that asked 31 and 33 questions on their cards.

¹⁷ We specifically include the Consumer Product Safety Act (CPSA) reference here because of the Commission's previous decision to address toys, children's articles and furniture bearing lead-based paint under the CPSA and not the FHSA. See 16 C.F.R. section 1145.2.

- B) for children be in effect indefinitely or as long as the manufacturer, distributor, dealer, retailer, or importer, is in business. These obligations shall explicitly pass to the companies that acquire such a manufacturer, distributor, dealer, retailer, or importer who previously conducted a recall as described in section 15 of the FHSA and section 15 of the CPSA. In addition when a company, that has previously conducted a recall of a children's product, goes out of business, it shall file a report with CPSC notifying the agency of its business status. When a company changes its telephone number or any other contact information, it must report these changes to CPSC so that the new contact information can be made available to the public.¹⁸
- B) require that the manufacturer (or distributor, dealer, retailer, or importer) have the following information permanently on every product and product part (if the individual product part could be used separately by a consumer) intended for use by children:
 - 1) name, address and telephone number; or
 - 2) name, telephone number, and web address.

Should the manufacturer (or distributor, retailer, or importer) choose to utilize a web address it must be one that has a recall section or button linking to a recall section, clearly identified on the home page of the website. Products (or product parts) intended for children that are smaller than one square inch in measurement, would be exempt from this requirement. However, if the Commission finds that products or product parts intended for children that are less than one inch square require manufacturer (or distributor, retailer, or importer) information because of a high frequency of recall or because of the hazardous nature of the product or product part, it may promulgate amendments to these regulations to address those products or product parts.

In addition to the name and contact information specified above, manufacturers (or distributor, retailer, or importers) shall have a means of identifying the product manufacturing period (if applicable) that can be placed on the product for identification purposes. If the manufacturer (or distributor, retailer, or importer)does not utilize an identifying mark specifying production period, then the Commission shall have the broad authority to recall all products in that category (regardless of batch or production period).

- C) require that manufacturers (or distributors, retailers, or importers) of products intended for children provide along with every product a Consumer Safety Registration Card that allows the purchaser to register information, through the mail or electronically. Such information will allow the manufacturer to contact the purchaser in the event of a recall or potential product safety hazard. Such registration should specify that the Consumer Safety Registration Card:
 - collect only that information needed to contact the purchaser (name and address or email address). No other information may be collected.
 - 2) Be postage-paid by the manufacturer (or distributor, retailer, or importer).
 - 3) Be pre-labeled by the manufacturer (or distributor, retailer, or importer) with the name and model number of the product purchased.
 - 4) Contain a statement that the information collected will be used only in the event that the manufacturer needs to contact the purchaser to convey recall or other important safety information.

¹⁸ We also ask CPSC Compliance Staff to contact the companies identified in this report and insist that they honor the recall. If CPSC learns that the company is not in business, we also request that the agency alert consumers, in addition to our doing so, of the dangers associated with these products and of the need to throw them away.

Manufacturers (or distributors, retailers, or importers) affected by this requirement may not sell, rent, share or otherwise disclose any information collected in connection with this provision and shall take reasonable measures to assure that the information collected is not used for any purpose other than alerting the purchaser of a product recall or other potential product safety hazard associated with the product. This information shall be maintained by the manufacturer (or distributor, retailer, or importer) for a minimum of 20 years, or the useful life of the product, whichever is longer. If a product is recalled by CPSC or the manufacturer (or distributors, retailers, or importers) determines that there is or may be a product safety problem associated with the product it shall use the information supplied by purchaser to contact all purchasers who have returned the registration cards to alert them to the recall remedy and the appropriate steps to take to correct the problem, or replace the product. CPSC shall require manufacturers (or distributors, retailers, or importers) to provide reports on its return rate with respect to Consumer Safety Registration Cards, as part of its reporting on the effectiveness of its recall (corrective action) program.

Respectfully submitted,

Mary Elle K Fine

Mary Ellen R. Fise
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dated: June 21, 2001

APPENDIX A



Consumer Federation of America

Report

on

CPSC PRODUCT RECALL INFORMATION

Prepared by:

Mary Ellen R. Fise General Counsel

Susan Winn SafeChild.net Project Manager

Consumer Federation of America
Consumer Federation of America Foundation

June 21, 2001

1424 16th Street, N.W., Suite 604 - Washington, D.C. 20036 - (202) 387-6121



This report examines the contact information provided in U.S. Consumer Product Safety Commission (CPSC) Press Releases announcing recalls of hazardous products intended for children.¹ The report identifies companies that fail to honor safety recalls and outlines regulation needed by CPSC to rectify this problem. This report is being released in conjunction with a petition by Consumer Federation of America (CFA) to CPSC that requests new recall regulations and enhanced enforcement efforts.

Background

On June 21, 2001, Consumer Federation of America Foundation is launching a new comprehensive child safety and health website, www.SafeChild.net, intended for parents, professionals who work with children, and advocates who work to protect children. This website will include all recalls of products intended for children from 1990 to present. Since consumers may have some products in their homes that have a long useful life (and typically a higher price tag) and that were recalled before 1990, the website includes older recall information on these products. These include: cribs, bunk beds, playground equipment, and all terrain vehicles. The website also contains some limited recall information on some products that, while not intended for children, promote safe environments for children (such as smoke alarms/detectors).

In preparation for listing all of these recalls on the SafeChild.net website, we contacted (and are still contacting)² the manufacturers, importers, distributors or retailers designated in the CPSC press release by calling the phone number listed in the release. These are the numbers that CPSC and the recalling company advise consumers to call to get more information about the recall remedy. We wanted to make certain that the information we intended to include for visitors to our website was accurate. Thus, we did not set out in this endeavor to prepare a report on recall contact information. However, a few days into calling we realized a disturbing pattern – that not all the contact information contained in the CPSC Press Releases was accurate and not all companies were continuing to honor recalls. When we first recognized a pattern, we began to keep notes on all contacts that were in error or where we talked to a company representative who indicated that they were no longer honoring the recall.

¹ We also contacted companies listed on the National Highway Traffic Safety Administration (NHTSA) website that have recalled child restraints (car seats).

As of June 21, 2001 we are still contacting the companies involved in toy recalls. If we learn of additional cases where companies fail to honor a recall we will forward that information to CPSC.

On the SafeChild net website, visitors can obtain recall information in three places. Each product discussed in the Parents section under the Super Category of *Products for Children* contains recall information as the last section of the product write-up. Recall information for products not intended for children, but which could affect a child's safety, is contained at the end of the articles on those topics (for example: smoke alarms/detectors). Finally, the Parents section of the website contains a category entitled *Recalls* where visitors can click on a product category and are taken to the product recalls for that product. In the near future this part of the website also will allow users to search for recalls by year. For each recall listed we include information on: Which Ones; Problem; What to Do; and the Recall Date. In the case of National Highway Traffic Safety Administration (NHTSA) recalls, we include the NHTSA number.

What We Found

To confirm recall information we placed calls to companies to check on a total of 595 recalls. Of these, we identified 108 cases involving 100 companies, listed in CPSC Press Releases and on the CPSC website, that fail to honor their recalls. Thus, 18% of the recalls we checked had faulty contact information or otherwise failed to honor their recall. In looking at the age of recalls not honored, 4% were recalls occurring in the 1980s, 61% were recalls occurring between 1990-1995, and 35% were recalls from the years 1996-2000. These failures fall into six categories:

Wrong Number: (62 cases) Typically this was a recording such as "your call cannot be completed as dialed" or "the number is invalid" or "this number is no longer in service." This category also includes calls answered by a person (including personal residences) or company that was clearly not the company listed in the recall.

Fax Machine or Pager: (7 cases) Here the telephone number called resulted in a fax machine beeping noise or pager beep.

Disconnected: (13 cases) These numbers resulted in a recording saying that the number was disconnected or no longer in service.

There were eight companies that each had two different recalls at different time periods where their contact information was inadequate in both cases. Two of these companies had different numbers for the different recalls and both numbers were deficient.

Always Busy: (2 cases) In these cases the phone number was always busy (during multiple attempts).

No Parts Available: (6 cases) In this category the call was answered, but in requesting information about the recall, we were told that the company was no longer honoring the recall because parts were not available. In one case the company said that they didn't make the product any longer and therefore were not honoring the recall.

Unsure of Continued Participation: (18 cases) For these calls, the company representative either did not know of the recall, claimed not to be part of any recall, indicated that the recall would only be honored for six years, or would be honored for six years if parts were still available. In one case the company told us that they had sent a letter to CPSC requesting that the case be closed because they had received very few returns. In many of the cases in this category we talked to several different people in the company.

See **Appendix A** for a complete list of the companies, recalls and responses to our CPSC product recall information checks.⁴

How Long Should a Recall be Honored?

To determine whether there was some undisclosed time limit on recalls that CPSC was negotiating with companies subject to recalls, we twice asked senior CPSC Compliance staff if there was any limit on how long a CPSC recall is in effect. Both times we were told unequivocally that recalls should last "indefinitely."⁵

⁴ In addition to the results listed in the chart in Appendix A, it should be noted that we often had difficulty getting through to companies not listed in this report. We had to phone these companies many times on several different days until the phone was answered. While not deemed a failure in this study, it most likely would have been had a consumer been contacting the company. After two or three tries, we suspect that most people would have given up.

⁵ M. Schoem, public comments at the International Consumer Product Health and Safety Organization Conference, February, 2001; and telephone conversation with A. Schoem.

Regulation Needed

In a petition to CPSC, CFA has asked that the agency promulgate a regulation, under section 10 of the Federal Hazardous Substances Act, to require all manufacturers, distributors, wholesalers and retailers to honor recalls entered into with CPSC **indefinitely**. In addition, when a company goes out of business or changes a telephone number or other recall contact information, the petition seeks a requirement that the manufacturer report this change to CPSC so that this information can be made available to the public.

We are also asking CPSC Compliance Staff to contact the companies identified in this report and insist that they honor the recall. If CPSC learns that the company is not in business, we are also asking CPSC to alert consumers, in addition to our doing so, of the dangers associated with these products and of the need to throw them away.

As part of this study we also contacted product manufacturers involved in NHTSA child restraints (car seat) recalls. We identified two companies whose 800 numbers were disconnected or where the call could not be completed. We plan to contact NHTSA concerning the contact information for these two companies.

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APPENDIX B

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went over the frame member, his neck came down on the ¼ inch frame edge. The shelf, which acted like a hinged cover, came down on the back of his head and neck and the boy expired.

The problem, the mother realized, is that the second shelf is not tied down. Heavy items on the shelf would have kept it down, but the respondent had taken all such down from the shelf. The retailer where the subject table was allegedly purchased is currently carrying a very similar changing table in stock. However, the second shelf on that table is tied down. Apparently, the changing table of the type involved in the incident is of an older design. However, a number of such tables appear to still be in consumer's hands — in speaking with people, the respondent has found two persons who have similar changing tables (i.e., tables with shelves that are not tied down); none were involved in an incident, but they could be, she told them.

PRODUCT DESCRIPTION:

The product is a (baby) changing table. One of two changing pads used was labeled

Manufacturer. The manufacturer remains unidentified - the product has no identification.

Retailer: The woman (neighbor) who purchased the table said, in a telephone interview

with me, that she purchased the table brand new from Sears Roebyck in

Concord, CA.

Dimensions: The table is 20 inches wide, 36-1/4 inches long, and 39-1/2 inches high (bottom

of leg to top of leg).

The top shelf (the changing shelf) is 32 inches high; the middle shelf is 19-1/2 inches high; the bottom shelf is 6-1/2 inches high. Each shelf is 34-3/8 inches long, by 18-1/2 inches wide, by 1/8 inch thick. The material of the shelves is pressed (fiber) board.

SAMPLE COLLECTED:

None. The respondent is holding the sample for a possible lawsuit.

EXHIBITS:

Assignment

Exhibit A: Police report, 10 pages.

Photographs:

- (1): The changing table
- (2) Close-up of one of the three shelves.
- (3): Reverse side of the shelf
- (4): The changing table without the shelves, also seen are the shelf support clips.
- (5): Close-up of a typical support clip.
- (6): The mother illustrates how she found her child.
- (7): Recreation of the incident with a toy bear.
- (8): Recreation, another view
- (9): Recreation another view
- (10): Design on the headboard
- (11): Close-up of one of the decorative spindles
- (12): The table has a child safety belt.
- (13): The belt has a warning printed on it.
- (14): Close-up of the warning on the belt.

END

LIVERMORE POLICE DEPARTMENT CRIME/INCIDENT REPORT

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Case Number: 99-0608-12

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war are married. Logar to (10 months of age) is their child. For clarity they will be referred to by their first names in this report. ANTER is FRIFOLEY's mother grandmother. and the the pastor) were present at this incident at the time of my arrival.

On the listed date and time, LPFD and AMR crews responded to the listed address to the report of a non-breathing infant. Upon their arrival, they found LOGAN unresponsive. They began, CPR. As I arrived, AMR transported LOGAN to Valley Care Hospital, Pleasanton. Pleasanton AMR to the hospital in their own private vehicle. remained at the home.

explained that she are responded to the VASH residence after receiving a telephone call (from Fig. 1) regarding the medical emergency involving the Upon their arrival at the war a war told them that she placed in the same placed in his room to play while she worked in a separate room in the home. For a short period of time she did not hear any noises coming from the room and thought this was unusual. When she checked on his welfare, she discovered that the head and neck had become stuck in between a baby changing table shelf and shelf support rail. was not breathing. First freed LOGAN and called 9-1-1.

Prior to my leaving the sidence for Valley Care Hospital, I found a baby changing table laying on it's side in the front yard. LPFD and AMR crews explained that while they

Reporting Officer P.E. Morton, #38 Distribution

Date 06/08/99 Clerical Action

DUPLICATION OR RESSUANCE CONTROLLED BY L LIVERNICRE POLICE DEPARTMENT

Approved By/Date

Livermore Police Department Narrative

Page 4

990914CAA3479

Case Number: 99-0608-12

EXHIBITH
DAYE 4 08 \$ 10

were tending to the had thrown it out of the Home during an emotional outburst due to medical condition/emergency. Inside the esidence I noted that numerous baby safety measures were in use at the home, including safety gates and child-proof latches. The room in where the changing table had been kept was tidy. There was no sign of struggle or forced entry and I noted nothing unusual inside the home.

I responded to Valley Care Hospital several minutes behind AMR. Upon my arrival at the emergency room, hospital personnel were tending to I At 1348 hours, br. buseus pronounced dead. Dealer R advised Facility of demise.

At various times throughout my stay at the hospital I spoke with FRIENDST, what in an attempt co discover what had happened to were extremely emotional. Through assistance I was able to obtain a similar verbal statement to that was able to crawl on his own. They also said he was able to pull up his own body weight and stand while holding onto an object. They surmised that the crawled to the changing table and pulled himself up. At sometime while standing at the table, he got his head caught in between one of the shelves and its supporting frame. Also through our conversation, I was able to determine that and analyze got the used changing table from a neighbor several months prior to LCGAN's birth. I was unable to determine how longuished that been caught CONTROLLED DOCUMENT in the table. DUPLICATION OR REISSLANCE CONTROLLED BY LAW LIVERMORE POLICE DEPARTMENT

Reporting Officer P.E. Morton, #38 Distribution Date 06/08/99 Clerical Action Approved By/Date

#1000 G-10-59

Livermore Police Department Narrative

Page 5 990914 CAA 3479 Case Number: 99-0608-12

ExhiBit A

PACE 5 & 3/0

at the remained at the residence while I followed AMR to the hospital. CSS arrival, she photographed the residence at my request. Upon her arrival, she photographed the residence and baby changing table. She collected the changing table and padding as evidence and responded to Walkey Care hospital to photograph LOGAN.

was secured to a backboard via straps and a head bed. His body and extremities had signs of mottling and his body was cold to the touch. I saw no obvious signs of trauma to his person. I did note a small bruise to his left throat/neck area undermeath the neck collar/brace he was wearing. CSS BANKE photographed LCGAN.

Valley Care hospital staff contacted ACSO Coroner's division and notified them of LOGAN's death. ACSO cleared LOGAN for movement to the morgue area of the hospital and I returned to Livermore.

Once back at LPD, I contacted contacted who was booking the film and changing table from this incident (refer to property report). Upon further examining the table I noted its dimensions were approximately 4'5" tall, 3'00" wide, and 1'08" deep. It had a large changing pad on the top with an older, thinner pad underneath. The middle shelf was approximately 1'07" from the bottom. The lowest shelf was approximately 0'06" from the bottom. Both the middle and bottom shelf was made from a particle board material that was approximately 1/16" thick. Each shelf was loosely set on top of dowel-type pins on the inside

Reporting Officer P.E. Morton, #38 Distribution Date 06/08/99

Clerical Action

DUPLICATION OR REISSUANCE CONTROLLED BY LAW
UVERHORE POLICE DEPARTMENT

Approved By/Date

6-10-57

frame of the table. Although the large pad appeared to be new, the remainder of the table and its components appeared to be criginal. There appeared to be no changes made to the table itself and there appeared no way to secure the shelves to the table. The table had no manufacture markings. The thinner changing pad had markings stating that it was made for the company to determine if they were the manufacturer of the changing table, and/or if there were any outstanding recalls on this product.

Recommendation: I completed this report to document the incident only. Refer this incident to CIU so as to contact ACSO Coroner Division to obtain a cause of death.

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CONTROLLED DOCUMENT

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LIVERMORE POLICE DEPARTMENT

Reporting Officer P.E. Morton, #38 Distribution Date 06/08/99 Clerical Action Approved By/Date

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Livermore Police Department

Narrative Report

Page 1

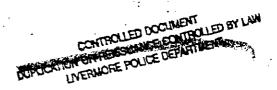
Case #99-0608-12

I am currently assigned to the criminal investigation unit of the Livermore Police Department as a crimes against persons detective.

On 06-14-99 I received this case for follow up investigation with the Alameda County Coroners Office in regards to the death of

At approximately 1000 his I called the Alameda County Coroners Office and spoke with Deputy Coroner Jackie Washam and briefly discussed the details of this case. Dep. Washam informed the that the results of the autopsy are consistent with the facts in this case and that the cause of death of Vash was traumatic asphyxiation. (For further autopsy details, refer to the Alameda County Coroner's report #1999-01706).

990914CAA3479 Exhibit A PAGE 7 08 \$ 10



45

Reporting Officer
Detective Garrison
Distribution

Date
June 14, 1999
Clerical Action

Approved by

To Hallway CONTROLLED DOCUMENT
DUPLICATION OR REISSUANCE CONTROLLED BY LAW
LIVERMORE POLICE DEPARTMENT

Northwest Bedroom at 959 Algonquin Way NOT TO SLALE

99-0608-12

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LIVERMORE POLICE DEPARTMENT NARRATIVE REPORT

CODE SECTION AND DESCRIPTION	CONTINUATION	SUPPLEMENTAL	CASE 1	PAGE
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LIVERMORE POLICE DEPARTMENT PROPERTY REPORT

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INCIDENT INVESTIGATION REQUEST FORM

DOCUMENT NUMBER:

H9990113A

DATE OF INCIDENT: 990608

CATID: SECTIR99

FOLLOW-UP REQUESTED

HAZARD ANALYSIS () SECT 15 (X)

TYPE FOLLOW-UP

TELEPHONE () ON-SITE ()

HEADQUARTERS CONTACT: Pam Major x 1373

Requested by:

Terri Rogers x 1363

ASSIGNMENT MESSAGE:

NOTE CAA DESIGNATION: HIGH VISIBILITY ON AN INFANT PRODUCT

Please investigate the attached incident.

Request information from official sources including medical examiner and whoever has custody of the product.

Person(s) to Contact: SEE ATTACHED

Task Number:

CONSUMBR PRODUCT INCIDENT REPORT REGION: WESTERN

		SOWER SKODOCI	INCIDENT	REPURI	×	cgion:WESTERN
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DEATH-Consu	mer placed 28 lb	. son on the	floor to p	lay and ap	proxim	nacely 5-7
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6/8/1999	death by strang	ulation		1	TIONS	HIS
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CONSUMER PRODUCT INCIDENT REPORT

H9990113A

990914 CAA 3479

Narrative Continued

between space(exact size unknown) of changing table's shelf and its guard rail. Consumer freed son and began CPR. Husband called 911 and once paramedics arrived they performed CPR. Son was pronounced dead upon arrival at the local hospital ER by paramedics.

Consumer says there were no manufacturer or identifying markings on the changing table and consumer is waiting for the table to be released to her by Alameda County Coroner's Office.

Coroner's autopsy states the cause of death as traumatic asphyxia (referral # 1999-01706).

Distributor phone #: Unknown	
CPSC Source: HD	

THE UP TO UP DECIME: MR:

etamacton, Cl (Alexade Co.) TEX-VOLLEY P ملد (Cir. B. 41,500) (Cir. 3. 45,400)

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Used **furniture** poses risk for babies

STATEMENT 3564

LIVERINGRE - Shortly be fore I p.m. on June B. Frilowy Vash walked late the bedroom of her IO-month-old son, Logan, and saw the worst sight of her च्यू**ध** गरक्रा forget.

Lugar, was hanging. Melesc, out the bottom of his changing from the buttons of his changing table, his reck caught in a gap between a shell said a support bar. Paractecities were unable to

Saintley would have been La-garia first birthday, and Vash wants to take the noneston to were other powers of the permiles dangers of more hapy for-

A beighbor had goest Viola be bade, which dista's have a numbers of used furniture the had accepted, and she sum waches the back tropested it.

I gon't blame (the neighbor) at all," she tast. "It's not ab-rectast for things to be handed down through a bee of methods. cause the new supering to cause the not uncontained to have a nursery full of hand-ma-thorns."

Crimentory is high

Thei's just the problem, saus John Linconser, president of the Danny Foundation, on pro-gosterion based in Alacon that

While some testy ferniture regulators have been in place store the late 80s, used furni-ture circulates without in section based shares, between funding and generations of the same family, he seed. As many as 30 cuttion occupie critic currently are to use, to committed.

The Consumer Product Salety Commission website have recided ance 1990. There are our scale, busic book, critical swings, numbrollan, pacificing, saucinia, physpens, rattler, and even a children's book, but no

Linewever said strepty checking to see if a product has been regulies is not execute.



The Year Leadily categories what would have been Locan Vest's tirst birthday Sunday alternoon enery in Livermore, From left, Logary's mother Frilaley, 26, sisters Mariet. at Marmory Gard 4, and Jordan, 10, and lather Steam Yeah, 35, people and to a hund-confind grave market

Since recalls are so rara, parenta might thick their children are mic. but that is not the case, said three-case, whose are Chart, then 2 years old, ruffered performent brain Garney when his T-shirt thought as a 100 mail and around. variage when his T-shift caught set a crib past sad atraged hrm. "Making hour decisions to purchase on whether a product has been recalled a not the way to go."

Sandineria value

He sad band-rac-down ferniture is one of the most difficult problems in resolve, because people lend in attack sentibeobje from in street seat-

Parts.

Jack Which, executive director of the Disary Foundation.

Who is in Weshington, D.C., to
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Tanecher, D-Tenengara Valley,
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have pashed the lighterelest foundation at 800-853-2566 or particle back to be the lighterelest foundation at 800-853-2566 or particle back to be a because there was nothing bolding it in place, a police report as the fire then put his mission. 800-638-2772 pr head above the horizontal sucpart but and the shell fell on his neck, outpoint his head and collocating from the report said.

Livermore Police Officer Phili Morton said the changing lattle ment to good conditions. He said be (good a similar table at a accord-band store in Souther's California, but the abetween locked into place, swiller the own the Vanhon med.

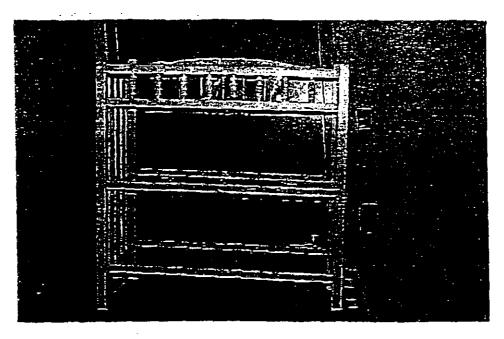
Friday Vest, 2s. Odstaniesi Logue's birthday Steaday at Memory Cardinas consecury in Decremore with her husband Study, 35, a gracery store sales stork, and their daughters storich 4, and Jordan, 10,

Logar delighted in attention. Friency said. He would gagle, ware and blow hunes at paracticly. She and she still tringues hernel crucking the kines in the work

"I keep thinking about how strong Logan is," she and, for-geting to take the past ferme. "That Ditte changing carte look it all tweet,"

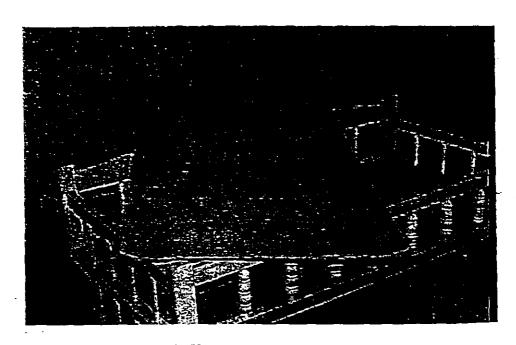
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www.cpsc.gov or Kids in Donger, www.tristnaanger.org.

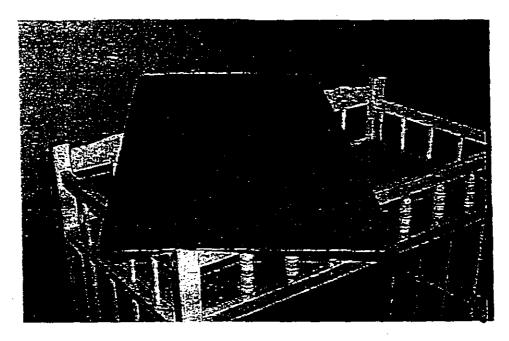


PHOTOGRAPH 1:

The changing table without the shelves, side view. Height of the table from the very bottom of the legs to the very top of the legs is 39-1/2 inches. The top (3rd) shelf is 32 inches high. The second shelf is 19-1/2 inches high; the bottom shelf is 6-1/2 inches high.

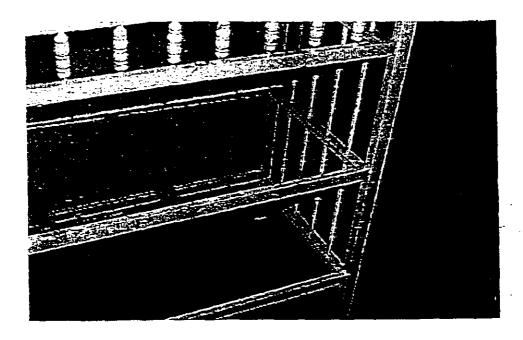


PHOTOGRAPH 2: Close-up of one of the three shelves. The material is 1/8 inch pressed Board.



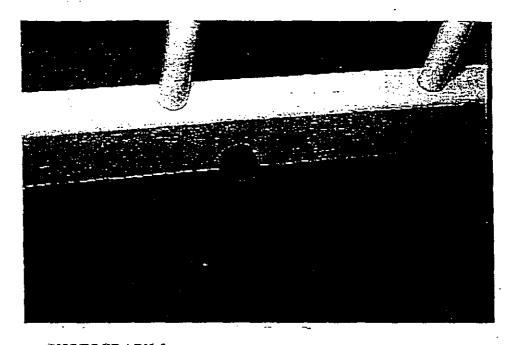
PHOTOGRAPH 3:

Reverse side of the shelf (all three shelves are identical). As can be seen, the material is pressed board.



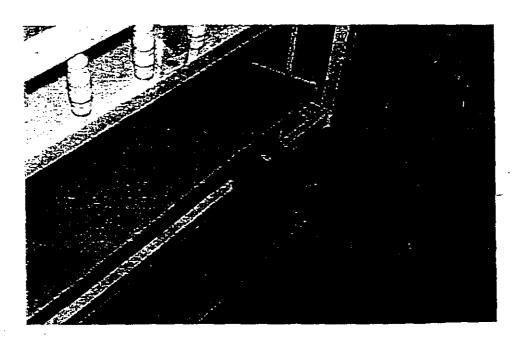
PHOTOGRAPH 4:

View of the frame without the shelves. The shelves are supported on the side by clips, the shelf ends are supported by a rabbet cut into the wood.



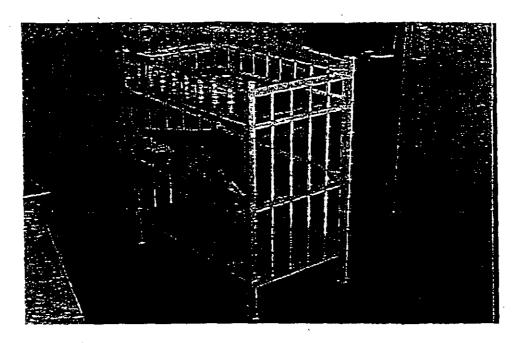
PHOTOGRAPH 5:

Close-up of a typical clip; the clips, which resembles those found on regular furniture shelves, fit tightly into drilled holes and appears to be glued in.



PHOTOGRAPH 6:

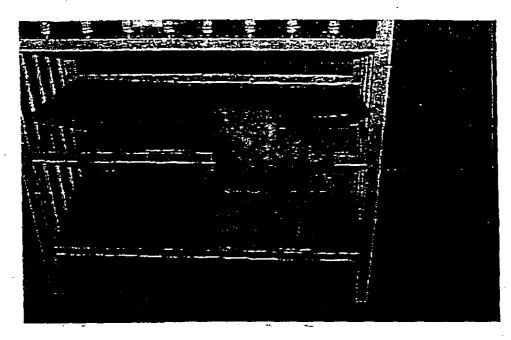
The child's mother demonstrates how she found her infant. The "lip" or edge of the support rail over which the hand is bent is ¾ inch wide (the rail is 1-1/8 inches by ¾ inches).



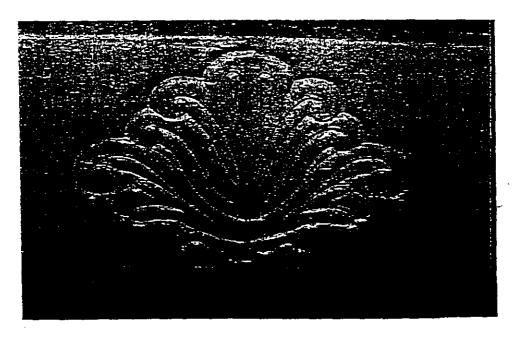
PHOTOGRAPH 7:
The toy bear, placed in position by the mother, further illustrates how she found the child.



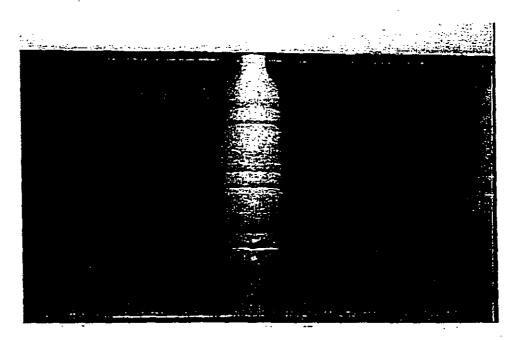
PHOTOGRAPH 8: Recreation, another view.



PHOTOGRAPH 9: Recreation, another view.



PHOTOGRAPH 10:
Design on the headboard (actually, one of the long sides of the table); it can serve as an identifying mark. The design is 4 inches high by 3 inches wide.



PHOTOGRAPH II:

The decorative spindles can also serve as an identification. The spindle is 3-3/4 high by 3/4 inches wide in the middle.



PHOTOGRAPH 12:

The changing surface of the table has a safety belt. The belt snaps into a corresponding part on the right-hand rail (possibly also on the left).



PHOTOGRAPH 13: — The belt has a safety warning on it.



PHOTOGRAPH 14: Close-up of the printing.

990914CAA3479

APPENDIX C

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Body Transported to : Reason for Transport							<u> </u>	_
	···-				···			
Funeral Home:G	tswev Lit	tle Chape	l of the	Chines	Named by:	nother	Oate: _	03_05_89
Funeral Home Addre	<<	⊃o~+la	ind Oreco	\r				

FAMILY DATA:							X	93	09	17
Family Notified; N	ame:					<u> </u>	/ \			-
А	ddress:							Re	lationsi	nip: <u>fathe</u>
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How Notified:	in per	rscn	Date & T	7me:		 -	8y W	<u>ի</u> ցու: <u>ի</u>	cspit	al perso
if Not in Person, V	thy Not?								· · · · · · · ·	·
						<u></u>				
PHYSICIAN AND	MEDICATION	N DATA:								
Physician(s) Nam- and Phone Numb		Drs:		(P	'AMC-ER)	<u> </u>		(Er	enuel	Peds)
	-1 - <u></u>									
- Medications, Alco		-	question of	abuse, overd	lose, cverut	ilization,	etc., con	iplete drug	j inventi	ory form.)
none		here is any		abuse, overd				npiete drug	j invent	ory form.)
none	hol, Etc.; (If t	here is any						nplete drug	g invento	ory form.)
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none BODY EXAMINAT	nol, Etc.: (If ti	here is any	Hour:	19:00			Place:	ene	ergenc	y room
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16% month old male, appears to have been well cared for, has slightly distanced abdomen, resuscitative artifact such as defibrillation burns, &" linear indentation/contusion to left chim. No other injuries.....

X 9 509/3

Case 4: __89-0540

me of deceased:	Case #:03=0340
CLOTHING AND JEWELRY DATA:	
Clothing: (Describe Fully) nucle	
Cio(ning: (Describe Polity)	
Jewelry: YN Left with Body: YN Removed to Property Room: YN	
Other Disposition: (Explain)	
Cities Disposition (Cities in the Cities in	
SEROLOGICAL DATA:	
Divid One or VO Myon by Whom	
Blood Drawn: YN if Yes, by Whom:	
Admission Blood at Hosp. YN Plcked up: YN By:	
Blood Tested by Hosp. YN Test Result:	
Urine Drawn: YN Vitrous Drawn: YN Time Vitrous Drawn:	
China Eldani. 155 Philada Branii.	
MISCELLANEOUS DATA:	
Photographs at Scene: ♥N If yes, by Whom: MEO: — MCSOn	
·	
Crime Lab at Scene: Y(N) If yes, who:	
Body Fingerprinted: YN If yes by Whom:	
How was Identification Made?: by father at the scene / hospital	
From was legitimedian index.	
INFORMANTS: (RELATIONSHIP & PHONE)	
- father	EPDS
	MAR 2 7 389
PATHOLOGY DATA:	
Cause of Death: Asphyxiation by hanging	
Other Significant	
Manner of Death: Accident Findings:	
Autopsy: (Y) N If Yes, By Whom:	No Case: Code:

. NARRATIVE REPORT:

Introduction:

X930913

This 164 month old male infant died in the hospital emergency department about 70 minutes after being admitted in cardio-respiratory arrest shortly after being found in his home, lifeless, with his head caught in a piece of furniture. At this time, the manner of death appears to be accidental.

Circumstances: (Detailed)

Paramedics received a call from the father regarding the lifeless infant. They arrived to find the subject on the floor with the father doing mouth to mouth ventilations. The infant was found to be in asystole and the paramedics elected to do a rapid transport rather than doing resuscitation and attempting stabilazion at the scene. The ambulance arrived to the hospital just seventeen minutes after theor initial call for help.

The subject's rhythm changed to an Ideoventricular without pulses to Ventricular Fibrillation to Ventricular Flutter and back to asystole. At no time was there any sort of viable rhythm obtained.

The Emergency Department physician arranged for rapid transport to the Pediatric ICU at Emenuel and the Emanuel Transport Team arrived to the PAMC Emergency Room at 17:36 and took over the resuscitation efforts. This team was headed by Dr. EPDS

After 74 minutes in the ER, all efforts were ceased.

MAR 2 7 389

The infant was viewed in the Emergency Room, other than resuscitation artifact and a distended abdomen, the only other injury observed was a small indentation/contusion in a linear fashion to his left chin. The emergency room personnel could not recall any other indentations/pressure marks that had since disappeared. The ER personnel nor the ambulance personnel had a clear understanding of the incident. They did state that a male friend with the father in the ER was quite chnoxious and demanding. The father and friend had left the ER prior to this writer's arrival.

Due to the above situation, it was decided to request MCSO Detective to accompany this writer back to the scene both for protection and photographs.

The scene is a small sparsely furnished apartment. It had a slight cluttered, lived in appearance. The subject and his twin prother had been in their bedroom with a gate across the doorway. It had been about ten minutes since they were last checked on when the father entered their bedroom to find the subject with his head caught in the woodwork of a diaper changing table and his feet "just barely" off the ground.

This changing table had three shelves and contained several stuffed animals and a casette tape player. The table was turned backwards so as the open shelves were turned against the wall. The father stated that the twins were active toddlers and often climbed on this furniture.

The back side of the furniture, facing outward into the room had two six inch high gaps in the woodwork/railing.

When found, the subject was almost in an upright position with his feet just off the ground. His head was wedged with the chin over the railing that was 21" off the ground and his head slightly wedged. He was looking directly back towards the wall behind the furniture. When first found, the father stated that he thought the subject was just standing there, looking at some toys.

The table was believed to have bought at a second-hand furniture store. The father refinished to paint but made no other modifications. There was no brand name or serial number on the furniture.

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Multnomah County Medical Examiners Office

FOLLOW-UP INFORMATION

			CASE #	89-0540
DATE	03_05_89	Name		
RECUEST DE	יעדו זכ.			

ACTION TAKEN:

NARRATIVE CONTINUED

Detective potained 35 mm. photographs of the scene and subject and will make copies available to this office. There was no known pertintent medical history.

The subject was removed to the M.E. Office for addotional examination. A contusion on his chin appears consistant with resting against the rail as described by the father.



EPDS